

ESSAY ROUTE APPLICATION FORM



For details of the criteria to join STEP via the Essay route, go to www.step.org/essay

Complete the form in English and type or print in BLOCK LETTERS

For office use only
Membership No:

www.step.org

1. Personal Details *indicates mandatory fields

Title (e.g. Mr/Mrs/Ms)*:	Country:
First Name(s)*:	Phone Number (incl. area code)*:
Last Name*:	Mobile Number (incl. area code):
Date of Birth: <input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	Home Address (if different):
Gender: Male <input type="checkbox"/> Female <input type="checkbox"/>	City/Town:
Job Title*:	County/State/Province:
Department*:	Post Code/Zip Code:
Designation:	Country:
Firm Name*:	Home Email*:
Business Address*:	Work Email:
PO Box Number:	Preferred Mailing Address: Work <input type="checkbox"/> Home <input type="checkbox"/>
City/Town:	Preferred Email Address: Work <input type="checkbox"/> Home <input type="checkbox"/>
County/State/Province:	
Post Code/Zip Code:	

2. Branch/Chapter Affiliation

Members of STEP must be affiliated with their local Branch or Chapter.

Details of Branches and Chapters can be found at www.step.org/branches-chapters

Please specify to which Branch or Chapter you wish to be affiliated:

3. Experience and Qualifications

Please tick the box(es) to show which qualifications you have.

<input type="checkbox"/> Barrister	<input type="checkbox"/> Fellow of a Taxation Institute
<input type="checkbox"/> Solicitor	<input type="checkbox"/> Fellow of a Banking Institute
<input type="checkbox"/> Chartered accountant	<input type="checkbox"/> Associate of a Taxation Institute
<input type="checkbox"/> Certified accountant	<input type="checkbox"/> Associate of a Banking Institute
<input type="checkbox"/> Fellow of the Institute of Legal Executives	<input type="checkbox"/> Institute of Chartered Secretaries and Administrators
<input type="checkbox"/> Other, please specify:	<input type="text"/>

I have included a copy of my signed certificate(s) for the above qualifications (this is required to process your application)

Please state the number of years of experience you have in the trust and/or estate field:

<input type="text"/>	years	<input type="text"/>	months
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I have attached my CV/résumé to this form detailing my trust and/or estate experience (this is required to process your application)

The required criteria for Affiliate membership via the Essay route is 5 years' relevant experience and a professional qualification/vocational degree.

4. Independent Referee

This section must be completed in full and signed and dated by your chosen referee.

Your referee is confirming your competence as detailed above so should have known you in a professional capacity during the relevant period and have supervised your work. Ideally, they will not be from your current firm, company or organisation. If your referee is a TEP in good standing, they can be from your current firm, company or organisation.

<input type="text"/>	Name:	<input type="text"/>	Phone Number (incl. area code):
<input type="text"/>	STEP Membership Number:	<input type="text"/>	Email:
<input type="text"/>	Firm:	<input type="text"/>	Length of professional acquaintance with the applicant:
<input type="text"/>	Business Address:	<input type="text"/>	years
<input type="text"/>	City/Town:	<input type="text"/>	months
<input type="text"/>	Postcode:	<input type="text"/>	Country:
<input type="text"/>		<input type="text"/>	Signature:
		<input type="text"/>	Date
		<input type="text"/>	<input type="text"/>
		<input type="text"/>	<input type="text"/>
		<input type="text"/>	<input type="text"/>

Please provide a short statement explaining how you know the applicant and your reason for endorsing this application:

<input type="text"/>
<input type="text"/>
<input type="text"/>

5. Annual Membership Subscription Fee and Application Fee

Please visit www.step.org/fees for dues and administrative fees.

You will be sent a request for payment for applicable fees when your membership is approved (pending payment). Payment options will be listed on your request for payment. You are required to submit payment within 30 days of being approved.

6. Applicant's Declaration

Please read the information below before signing this declaration.

I understand that as a STEP Member, I am represented by STEP throughout the world. In addition, my local STEP region and branch provide further support, benefits and services.

I confirm that I am aware of STEP's Memorandum and Articles of Association, its Standing Orders, the STEP Code of Professional Conduct and all other rules, regulations and guidance (and any amendments) that may be issued by STEP from time to time. I agree to abide by these, which together, are referred to as the "STEP Rules." These are published on the STEP website at www.step.org/central-governance

I confirm and acknowledge that if I breach any of these rules, disciplinary action may be taken against me that may result in a sanction being imposed which may affect my STEP membership, and that any sanctions and information relating to an investigation could be published in the *STEP Journal* in accordance with our Disciplinary Policy: <http://www.step.org/sites/default/files/Policy/step-disciplinary-panel-publications-policy-june-2016.pdf>

I confirm that no prior or current criminal and regulatory proceedings have been brought against me by any authority, and that I will notify STEP should I become the subject of any criminal, regulatory or disciplinary investigation or other matter that has not already been brought to the attention of Professional Standards. I am aware that as STEP membership is a contract under English and Welsh law I am required to declare any criminal convictions under the provisions of the *UK Rehabilitation of Offenders Act 1974*. I understand that the *UK Rehabilitation of Offenders Act 1974* does not apply to the accountancy or legal profession, and therefore I may be required to disclose past

convictions: that is any conviction irrespective of its age and/or type. I understand that I cannot resign or lapse my STEP membership while an investigation is ongoing under the STEP Disciplinary Rules and that regardless of any such resignation and/or lapse, that STEP is entitled to investigate any complaints and make a determination as to my continued membership.

I understand that it is a requirement of STEP membership to ensure appropriate professional indemnity insurance (PII) is in place; therefore, I confirm that to the best of my knowledge and belief, I hold appropriate PII for the work which I undertake, or that as an employee, I am appropriately indemnified by my employer for the work I perform. Furthermore, I understand that if I make a declaration concerning PII which is subsequently found to be false, I will be subject to proceedings under the STEP Disciplinary Rules.

I agree to adhere to meet STEP's CPD requirements and record my CPD activities. I understand that random CPD audits are performed each year and that failure to respond to a request for a list of CPD activities I have undertaken may result in suspension of membership. Full details can be found at www.step.org/cpd

Signature:

Date

7. Data Protection

The information you provide will be used by STEP, its subsidiary companies, STEP Branches/Chapters or approved agents for administrative and membership purposes or as required by law. Once you have provided your consent in the check box below, we will use your information to keep you up-to-date with news and developments in the industry, via both email and post. Our News Digest emails provide you with an overview of current news articles and reports relevant to your practice and region and the *STEP Journal* provides news, trends and issues facing trust and estate practitioners; industry debate, incisive comment and thoughtful analysis; legislative developments and implications; technical briefings and taxation updates; book and product reviews; and listings of STEP meetings and events for the months ahead.

We do not sell lists of our members, but, with your consent in the check box below, may pass your details on to local STEP Branches/Chapters acting on STEP's behalf as well as third parties with whom STEP works closely, for example our education partners. These companies may be based worldwide. This enables them to send you information about products and services that are relevant to your membership and are approved by STEP.

STEP also produces lists of STEP members available to the public. These lists appear on the public area of the STEP website. You will need to check the box below to confirm you would like your details to appear in the Online Directory.

IMPORTANT – PLEASE READ AND CHECK THE BOXES ACCORDINGLY Please check the boxes below to provide your consent to receiving such messages by email, SMS and/or post from STEP, local STEP Branches/Chapters and other third parties approved by STEP.

Please note, that if you do not check either the box below relating to mailings or the box below relating to emails, then STEP will not be able to contact you regarding your membership and this could result in your membership lapsing. You will also be missing out on a number of member benefits if you do not give consent for STEP to mail or email you.

- I would like to receive mailings from STEP. (Please note that this includes the *STEP Journal*).
- I would like to receive emails from STEP. (Please note that this includes the STEP News Digests and all STEP member newsletters).
- I would like to receive SMS messages from STEP. (Please note that STEP will not charge you for any SMS messages sent to you).
- I would like to receive mailings (including emails) from third parties approved by STEP relating to products and services that are relevant to my membership.
- I would like to have my contact details appear on lists of STEP members that are available to the public or appear on the public area of the STEP website.

STEP members can update their email and mailing preferences at any time by logging into the STEP website.

Background Check

STEP undertakes background checks using the Thomson Reuters World-Check One service to assist with determining an applicant's suitability for membership. Further information can be found at <https://risk.thomsonreuters.com/en/products/world-check-one-kyc-verification.html>

We may also contact other organisations to which you belong or have belonged and/or other authorities to obtain further information.

Please note that by submitting an application for membership, you are giving your consent for STEP to complete a background check. The laws of England and Wales govern this Agreement and the parties submit to the exclusive jurisdiction of the English courts.

If you would like further information on how STEP uses your personal information, please refer to our privacy policy which can be found at www.step.org/privacy-policy-and-terms-use, or contact us at step@step.org

8. How did you hear about STEP?

Please select one of the following or detail below:

- | | | |
|--|---|--|
| <input type="checkbox"/> Colleague/Employer | <input type="checkbox"/> STEP email | <input type="checkbox"/> Industry publication (please specify) |
| <input type="checkbox"/> STEP member | <input type="checkbox"/> STEP website | <input type="text"/> |
| <input type="checkbox"/> STEP Journal | <input type="checkbox"/> Employer Partnership Programme | <input type="checkbox"/> CLT International |
| <input type="checkbox"/> STEP branch/chapter | <input type="checkbox"/> STEP conference or event | <input type="checkbox"/> Other (please specify) |
| | | <input type="text"/> |

9. Practice Areas

Please select the practice area(s) that best match your current role. This information will be used in the Online Directory and will help STEP tailor its products and services to you.

- | | | |
|--|---|---|
| <input type="checkbox"/> Charity formation/administration | <input type="checkbox"/> Elderly and vulnerable clients | <input type="checkbox"/> Private banking |
| <input type="checkbox"/> Civil-law planning (incl foundations) | <input type="checkbox"/> Estate planning/administration | <input type="checkbox"/> Rural family, business and agriculture |
| <input type="checkbox"/> Company formation/management | <input type="checkbox"/> Family business | <input type="checkbox"/> Tax |
| <input type="checkbox"/> Compliance/regulation | <input type="checkbox"/> Family office | <input type="checkbox"/> Trust planning/administration |
| <input type="checkbox"/> Contentious trusts and estates | <input type="checkbox"/> Insurance | <input type="checkbox"/> Wills and probate |
| <input type="checkbox"/> Cross-border estates | <input type="checkbox"/> Investment | |
| <input type="checkbox"/> Dispute resolution | <input type="checkbox"/> Philanthropy | |

10. Practice Focus

Please select the focuses that relate to the Practice Areas selected above. Please note that it will be assumed that all focuses will relate to all Practice Areas.

- | | | | | |
|------------------------------------|-------------------------------------|---------------------------------------|---|--|
| <input type="checkbox"/> Civil Law | <input type="checkbox"/> Common Law | <input type="checkbox"/> Cross-Border | <input type="checkbox"/> Domestic/Local | <input type="checkbox"/> International |
|------------------------------------|-------------------------------------|---------------------------------------|---|--|

11. Profession

Please select from the following information which will be used in the Online Directory and STEP Directory and Yearbook.

- | | | |
|---|---|--|
| <input type="checkbox"/> Academic | <input type="checkbox"/> Financial Planner | <input type="checkbox"/> Notary |
| <input type="checkbox"/> Accountant | <input type="checkbox"/> Insurance Advisor | <input type="checkbox"/> Tax Advisor |
| <input type="checkbox"/> Banker | <input type="checkbox"/> Judge | <input type="checkbox"/> Trustee/Fiduciary |
| <input type="checkbox"/> Barrister | <input type="checkbox"/> Lawyer: Barrister/Advocate | <input type="checkbox"/> Trust Officer/Administrator |
| <input type="checkbox"/> Compliance Officer/Manager | <input type="checkbox"/> Lawyer: Solicitor/Attorney | <input type="checkbox"/> Wealth Manager |
| <input type="checkbox"/> Estate Planner | <input type="checkbox"/> Legal Assistant/Paralegal | <input type="checkbox"/> Will Writer |

12. Firm Type

Please select from the following information which will be used in the Online Directory.

- | | | |
|---|---|--|
| <input type="checkbox"/> Academic Institution | <input type="checkbox"/> Charity | <input type="checkbox"/> Legal |
| <input type="checkbox"/> Accountant | <input type="checkbox"/> Consultant | <input type="checkbox"/> Tax Advisors |
| <input type="checkbox"/> Alternative Business Structure (ABS) | <input type="checkbox"/> Family Office | <input type="checkbox"/> Trust Company |
| <input type="checkbox"/> Bank/Private Bank | <input type="checkbox"/> Financial Advisors | <input type="checkbox"/> Wealth Management |
| <input type="checkbox"/> Chambers | <input type="checkbox"/> Insurance | <input type="checkbox"/> Will Writing |

Send your completed application form and accompanying documents to:

STEP, Artillery House (South), 11-19 Artillery Row, London, SW1P 1RT, UK

T: +44 (0)20 3752 3700 E: step@step.org W: www.step.org

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